

(19) World Intellectual Property Organization
International Bureau



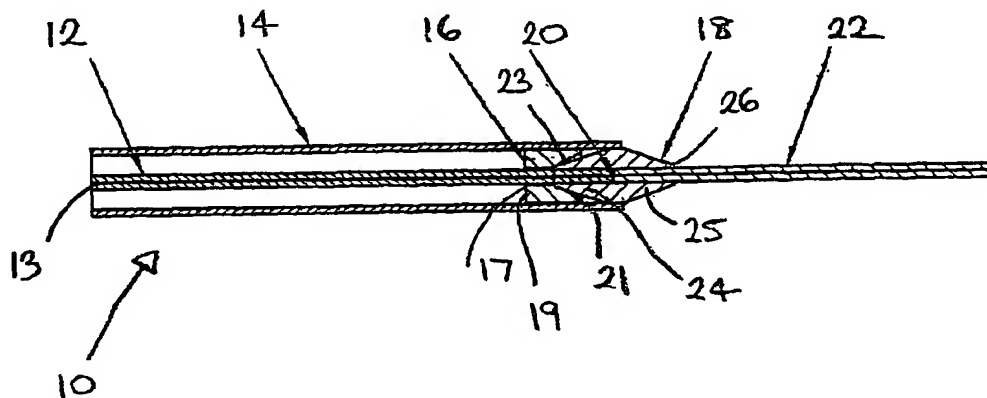
(43) International Publication Date
31 July 2003 (31.07.2003)

PCT

(10) International Publication Number
WO 03/061805 A1

- (51) International Patent Classification⁷: **B01D 15/08**, G01N 30/60
- (21) International Application Number: PCT/AU03/00049
- (22) International Filing Date: 16 January 2003 (16.01.2003)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
PS 0016 17 January 2002 (17.01.2002) AU
- (71) Applicant (*for all designated States except US*): **SGE INTERNATIONAL PTY LTD** [AU/AU]; 7 Argent Place, Ringwood, Victoria 3134 (AU).
- (72) Inventors; and
- (75) Inventors/Applicants (*for US only*): **DAWES, Peter, Alexander** [AU/AU]; 53 Beauty Gully Road, Warandytte, Victoria 3113 (AU). **DAWES, Ernest, Frederick** [AU/AU]; 2/60 Clarendon Street, East Melbourne, Victoria 3002 (AU).
- (74) Agent: **FREEHILLS CARTER SMITH BEADLE**; Level 43, 101 Collins Street, Melbourne, Victoria 3000 (AU).
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- Published:
— with international search report
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: SEALED INTEGRAL LIQUID CHROMATOGRAPHY SYSTEM



(57) Abstract: A liquid chromatography system (10) including a separation column (12) having an internal bore (13) and an end fitting assembly (16, 18, 20) fitted at one side to an end of the separation column (10). Transfer tubing (22) is fitted to the opposite side of the end fitting assembly (16, 18, 20). The separation column (12), transfer tubing (22), and end fitting assembly (16, 18, 20) are constructed as a sealed integral system. Preferably, the separation column is a micro, capillary, or nano liquid chromatography column.

SEALED INTEGRAL LIQUID CHROMATOGRAPHY SYSTEM

Field of the Invention

The present invention relates to liquid chromatography and in particular to capillary and micro liquid chromatography columns.

Background of the Invention

Liquid chromatography is used for separation of certain compounds by their interaction with a packed bed in a separation column. The molecules to be separated are dissolved in a liquid mobile phase that is pumped through the packed bed, which is packed tightly, usually into a tube.

In its most basic form a liquid chromatography system typically comprises a pump to generate the flow and high pressure necessary to force the liquid mobile phase through the separation column. An injection valve then introduces a measured amount of the sample to be analysed into the liquid mobile phase stream. There may be a pre-column or in-line filter after the injection valve to remove particulate matter or material from the liquid mobile phase that may damage the separation column. The liquid mobile phase is pumped through the separation column under high pressure where chemical compounds are separated. The effluent of the separation column, including the separated compounds, are then carried via a transfer line to a detection system that is used to measure and quantitate the separated sample components.

Between each of the components in the liquid chromatography system there are typically connections made to transfer lines to take the liquid flow from one component of the system to the next. The flow path of the liquid therefore includes several joints which must be sealed against high pressure without introducing dead volumes and multiple flow paths to the flow path.

Within a conventional separation column design there are connections from the connecting tubing, to the column endfitting (usually containing a frit), between the endfitting and the separation column itself, and then the same is repeated on the other end of the separation column. Frit devices are required to keep the particulate separation media contained within the separation column.

Existing separation columns were designed when liquid chromatography columns were large, greater than 2.0mm inside diameter, for example. The relatively large flow rates meant that components were less critical to join without introducing deleterious affects to the performance of the system. Although it is not yet fully defined, common terminology in this area refers to microbore as between 0.5mm – 2.0mm internal diameter, capillary bore as between 0.15mm – 0.5mm internal diameter, and nanobore as less than 0.15mm. These smaller liquid chromatography systems are becoming more commonplace and a better approach is needed to obtain good performance of the liquid chromatography system and to ensure that the making of connections for the less skilled practitioner is less critical.

It is therefore an object of this invention to provide a liquid chromatography system incorporating low volume and zero dead volume connections.

Summary of the Invention

The invention accordingly provides a liquid chromatography system including:

a separation column having an internal bore;

an end fitting fitted at one side to an end of the separation column; and

transfer tubing fitted to the opposite side of the end fitting;

wherein the separation column, transfer tubing, and end fitting are constructed as a sealed integral system.

Preferably, the separation column is a micro, capillary, or nano liquid chromatography column. More preferably, the internal diameter of the internal bore of the separation column is in the range 0.025mm – 2.1mm.

The end fitting preferably includes a double ferrule or employs a similar method of sealing the fitting at high pressure. Preferably, the double ferrule incorporates a frit.

The end fitting advantageously includes a zero volume connection between the separation column, transfer tubing, and a frit within the double ferrule or similar sealing means.

The double ferrule preferably includes central bore which aligns with the bore of the separation column and the bore of the transfer tubing when the system is assembled. The double ferrule is preferably formed as a double-conical shaped component, tapering from the middle of the ferrule to either end of the ferrule.

The frit of the double ferrule may be a wire mesh frit or a polymer or metal frit formed in the ferrule, or an in-situ frit formed inside the separation column itself.

The liquid chromatography system preferably further includes a protective outer tubular sheath surrounding the separation column, and preferably extending over at least part of the double ferrule. The sheath may be made of metal and serves to give strength to the system and prevent the small outside diameter separation column from being damaged in use.

In preparation of the separation column it is necessary to form and seal the double ferrule into the separation column and the transfer tube at the same time. For this purpose a backing ferrule may be used. The backing ferrule becomes a permanent feature of the chromatography column when assembled as it cannot be removed.

Preferably the separation column is made of glass lined metal tubing or fused silica lined polymer tubing, or any other suitable material.

A transfer or connecting tubing is provided on the other side of the double ferrule. Advantageously, the transfer tubing is received within the bore of the double ferrule and also extends midway along the length of the double ferrule up to the side of the frit opposite the separation column. The bore of the double ferrule may be stepped to accommodate a separation column and transfer tubing of different outer diameters.

Advantageously, the double ferrule is permanently collapsed so as to fix the capillary column into one end and the transfer tubing into its other end.

In one embodiment of the invention, the separation column, end fitting, and transfer tubing are permanently joined by gluing, welding or other fixing means into a single unit.

Brief Description of the Drawing

The invention will now be described by way of example, with reference to the accompanying drawing which is a side cross-sectional view of an integral liquid chromatography column according to an embodiment of the invention.

Description of Preferred Embodiments

Referring to the drawing there is illustrated a liquid chromatography system 10 according to an embodiment of the invention. The system 10 includes separation column 12, outer protective sheath 14, backing ferrule 16, double ferrule 18 incorporating frit 20, and transfer or connecting tubing 22 to other devices such as the sample introduction valve and detector (not shown). The backing ferrule 16, double ferrule 18, frit 20, and transfer tubing 22 are repeated at the opposite end (not shown) of the separation column 12.

Separation column 12 is preferably either a micro, capillary, or nano liquid chromatography column. The separation column 12 is preferably made of glass lined metal tubing or fused silica lined polymer tubing but other precise, smooth

and inert bore tubing materials may be used. The internal bore 13 of the column 12 is tightly packed with packing material (not shown). The separation column 12 is contained within protective sheath 14 that extends along the length of the column 12 and covers at least part of the double ferrule 18 as discussed below. Sheath 14 is advantageously made of a polymer or metal and serves to reduce accidental damage to the separation column 12.

Backing ferrule 16 is provided at each end of the separation column 12 (only one end is illustrated). The backing ferrule is used in forming the double cone ferrule onto the separation column 12 but can be removed on the first end formed but is trapped unable to be removed on the second end formed. It performs no subsequent function. Backing ferrule 16 includes a central bore 17 sized to receive the separation column 12. The outer diameter of the backing ferrule is sized to be closely received within the outer sheath 14. The side 19 of the backing ferrule 16 facing the separation column 12 is generally perpendicular to the axis of the separation column, while the other side 21 of the backing ferrule is shaped as a hollow cone 23, as illustrated, to receive one side 24 of the double ferrule 18 and to form the ferrule 18 into a permanent seal onto the separation column 12.

Double ferrule 18 is formed as a double-sided conical component, tapering from the middle of the component to each side 24, 25. The double ferrule also includes a central bore 26 extending therethrough. A first side 24 of the double ferrule is tightly received within the hollow conical portion 23 of backing ferrule 16. Sheath 14 advantageously extends up to midway along the double ferrule.

The cones on each end of the double ferrule must make a reliable high pressure seal onto the transfer tubing and separation column. The shape and dimensions of the two cones are not necessarily the same and depend on the tubing they are sealing onto and the dimensions of the tubing.

To create the necessary perfect flow conditions in small volume liquid chromatography the bore of the separation column and the transfer tubing must be

perfectly aligned. The concentricity of the bore of the tubing as well as the inside bore of the connecting union and its precise diameter are critical to within a few micrometers. Using conventional designs of liquid chromatography fittings and conventional machining techniques it would be difficult and expensive to achieve the required level of tolerances. The small size, machining from one direction, simple design, and tendency to automatically align the connecting tube, makes the double ferrule arrangement a simple low cost component that can be produced inexpensively on large quantity compared with conventional liquid chromatography fitting designs.

The frit 20 is captured in the double ferrule 18 either as a wire mesh frit or a polymer or metal frit formed in the ferrule 18. Frit 20 is a flat circular disc with a plurality of holes that acts as a filter of the packing material. The frit 20 is preferably located in or near the middle of the double ferrule 18. The separation column preferably extends through the backing ferrule 16, and into the double ferrule 18 up to the frit 20. In a further embodiment the frit 20 can be incorporated within the end of the separation column 12 or in the connecting tubing 22.

The second side 25 of the double ferrule extends from the cover of the sheath 14 and receives one end of transfer or connecting tubing 22. The transfer tubing preferably extends into the double ferrule 18 up to and in close contact with the side of the frit opposite the separation column 12. The double ferrule 18 is permanently collapsed so as to fix the separation column 12 into one end 24 and the transfer tubing 22 into its other end 25.

A double cone ferrule is not the only way of forming a permanent connection between the separation column 12, frit 20 and the transfer tubing 22 or other components in the system. The integrated column system can be formed by various other fixing means other than ferrule swaging. Adhesives and certain welding processes would also be suitable for forming the integrated separation column, frit and transfer tubing system.

Ideally the tubing of the separation column 12 and transfer tubing 22 should have an outside diameter as small as possible. A small outside diameter reduces the annular area at connections proportional to the square of the diameter, which helps reduce unwanted dead volumes within the system. Smaller outside diameters also allow for more precise fits with reduced scope for errors in concentricity or annular areas. Existing systems have typically had column outside diameters from 1/16" to 1/8".

The ferrule 18 is designed and is of small enough dimension to permit machining to the very precise dimensions and concentricity required in the join between the separation column 12 and transfer tubing 22. The bore of the double ferrule may be stepped to accommodate a separation column and transfer tubing of different outer diameters.

The integrated liquid chromatography column can be produced using various diameter components and materials, for example, 1/16" outer diameter (OD) glass lined metal tubing (GLT), 0.635mm O.D. GLT, 1/16" OD PEEKSIL and 1/32" OD PEEKSIL for the column tubing material. PEEKSIL is a fused silica capillary tube coated with PEEK (polyetheretherketone). The inside diameter of the separation column is typically between 0.025mm and 2.1mm.

The transfer tubing 22 is preferably either 1/16" OD or 1/32" OD fused silica lined PEEK which has an inside diameter of between 0.010mm and 0.100mm. Another suitable connecting tubing material is fused silica tubing with 0.010mm to 0.100mm inside diameter range and an outer protective coating of polyimide to give approximately 0.35mm OD.

It will be appreciated that the chromatography column of the invention is designed to incorporate all critical elements of the column into a permanently sealed fitting. In this way the column can be designed to achieve ideal flow path properties because there is no need to make the system in several pieces as is the usual practice in liquid chromatography fittings. Typical error build-ups in assembly of the system is therefore not an issue. It will also be appreciated that

the double ferrule of the system is an easy component to machine in very high volume and is therefore a much less expensive way to produce a liquid chromatography column.

It will be understood that the invention disclosed and defined in this specification extends to all alternative combinations of two or more of the individual features mentioned or evident from the text or drawings. All of these different combinations constitute various alternative aspects of the invention.

CLAIMS

1. A liquid chromatography system including:

a separation column having an internal bore;

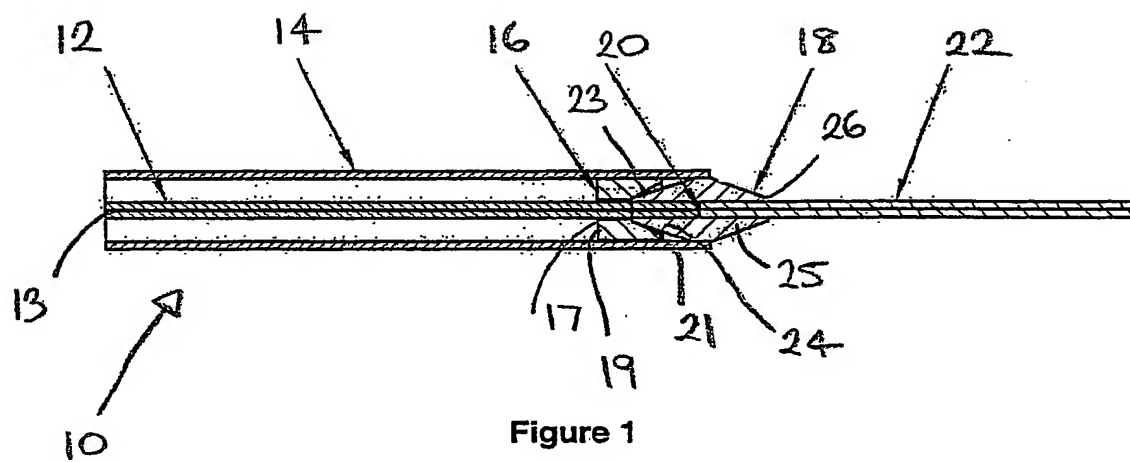
an end fitting fitted at one side to an end of the separation column; and

transfer tubing fitted to the opposite side of the end fitting ;

wherein the separation column, transfer tubing, and end fitting are constructed as a sealed integral system.
2. A system according to claim 1, wherein the separation column is a micro, capillary, or nano liquid chromatography column.
3. A system according to claim 1 or 2, wherein the internal diameter of the internal bore of the separation column is in the range 0.025mm – 2.1 mm.
4. A system according to claim 3, wherein the internal diameter of the internal bore of the separation column is in the range 0.030mm – 1.0mm.
5. A system according to any one of claims 1 to 4, wherein the liquid chromatography system further includes a protective outer tubular sheath surrounding the separation column.
6. A system according to claim 5, wherein the end fitting includes a double ferrule incorporating a frit.
7. A system according to claim 6, wherein the double ferrule includes central bore which aligns with the bore of the separation column and the bore of the transfer tubing when the system is assembled.

8. A system according to claim 6 or 7, wherein the double ferrule is formed as a double-conical shaped component, tapering from the middle of the ferrule to either end of the ferrule.
9. A system according to any one of claims 6 to 8, wherein the bore of the double ferrule is stepped to accommodate a separation column and transfer tubing of different outer diameters.
10. A system according to any one of claims 6 to 9, wherein the double ferrule is permanently collapsed so as to fix the capillary column into one end and the transfer tubing into its other end.
11. A system according to any one of claims 6 to 10, wherein the frit of the double ferrule is a wire mesh frit or a polymer or metal frit formed in the ferrule.
12. A system according to any one of claims 6 to 10, wherein the frit is formed inside the end of the separation column or transfer tubing.
13. A system according to any one of claims 6 to 12, wherein the separation column extends midway along the bore of the double ferrule up to one side of the frit.
14. A system according to claim 13, wherein the transfer tubing is received within the bore of the double ferrule and extends midway along the length of the double ferrule up to the side of the frit opposite the separation column.
15. A system according to any preceding claim, wherein the separation column is made of glass lined metal tubing or fused silica lined polymer tubing.
16. A system according to any preceding claim, wherein the separation column, end fitting, and transfer tubing are permanently joined by gluing, welding or other fixing means into a single unit.

1/1



INTERNATIONAL SEARCH REPORT

 International application No.
PCT/AU03/00049
A. CLASSIFICATION OF SUBJECT MATTERInt. Cl. ⁷: B01D 15/08, G01N 30/60

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
 DWPI: /ic b01d-015 or b01d-053 or b01j-047 or g01n-030, (chromatograph+ or separation) (s) (column? or tube or cylinder or bore), /ic b01d-015/08 or b01d-053/04 or g01n-030/60 or b01j-047/02, micro+ or nano+ or capillar+, frit or +mesh, integral+ or integrated or unitary or (one (w) piece) or ((single or one) (w) (unit or component or system or device or apparatus or equipment)) or unified or fused or linked or articulated or coupled or combined or joined or connected or conjoint+ or (fixed (w) together), end? or fitting? or tub+ or +bore? or hole or opening or capillar+ or pipet+ or orifice, ferrule? or tip? or cap? or band? or stopper? or grommet?, conical or cone+ or taper+ or slant+ or slop+ or angle? or funnel+, seal+ or closure or gasket or hermetic or +tight or encapsulat+ or +ring or plug or grommet or envelop+ or coat+ or protect+ or cover+ or secur+ or fill+, glu+ or weld+ or fix+ or join+ or bond+ or secur+ or mount+ or encaps+ or bind+ or adher+ or connect+ or fasten+

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 01/73338 A1 (SGE INTERNATIONAL PTY. LTD.) 4 October 2001 Figures 1-3; page 4, lines 5-18; page 7, lines 21-30	1-5,15-16
X	US 5938919 A (NAJAFABADI) 17 August 1999 Figures 3, 4a-4c; column 4, line 40 - column 9, line 8	1-5,15-16

☒ Further documents are listed in the continuation of Box C☒ See patent family annex

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier application or patent but published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search 31 January 2003	Date of mailing of the international search report - 7 FEB 2003
Name and mailing address of the ISA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaustralia.gov.au Facsimile No. (02) 6285 3929	Authorized officer RAJEEV DESHMUKH Telephone No : (02) 6283 2145

INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU03/00049

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5525303 A (FORD et al.) 11 June 1996 Figure 4; column 7, lines 43-60; claim 1	1-5,15-16
X	US 5482628 A (SCHICK) 9 June 1996 Figure 5; column 7, line 50 - column 8, line 12	1-5,15-16
X	US 4989974 A (ANTON et al.) 5 February 1991 Figure 1; column 3, lines 40-68	1-5,15-16
X	US 4586733 A (ANDERSON, JR.) 6 May 1986 Figure 1; column 2, line 52 - column 3, line 31	1-5,15-16
X	US 4083702 A (HARTIGAN et al.) 11 April 1978 Figures 3, 4A-4C; column 3, line 43 - column 4, line 46	1-5,15-16
X	US 4719011 A (SHALON et al.) 12 January 1988 Figures 5, 9, 11; column 7, line 31 - column 8, line 21	1-5,15-16
X	EP 624795 B1 (UPCHURCH SCIENTIFIC, INC.) 7 April 1999 Claims 1-16; figures 3, 5, 6	1-5,15-16
X	US 6102449 A (WELSH) 15 August 2000 Figures 2-3; column 7, lines 33-47	1-5,15-16
X	US 4283280 A (BROWNLEE) 11 August 1981 Figures 2-3; column 4, line 9 - column 5, line 60	1-5,15-16
X	Patent Abstracts of Japan, JP 56-150352 A1 (HITACHI CHEM CO LTD et al.) 20 November 1981 Abstract; drawing	1-5,15-16
X	Patent Abstracts of Japan, JP 56-150353 A1 (HITACHI CHEM CO LTD et al.) 20 November 1981 Abstract; drawing	1-5,15-16

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/AU03/00049

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report		Patent Family Member	
WO 200173338	AU 20006469	AU 200110076	
US 5938919	NONE		
US 5525303	EP 713416	EP 884081	WO 9505229
	US 5730943	US 5911954	
US 5482628	NONE		
US 4989974	EP 326511	JP 1216234	
US 4586733	NONE		
US 4083702	CA 1060919	DE 2729359	GB 1554258
	GB 1554259		
US 4719011	US 4882047	EP 296624	JP 1193645
	US 5013433		
EP 624795	US 5736036		
US 6102449	NONE		
US 4283280	NONE		
JP 56150352	FR 2484858	JP 56150353	JP 3115873
JP 56150353	FR 2484858	JP 56150352	JP 3115873
END OF ANNEX			